

RULES AND REGULATIONS

4. Coordination Obligations

4.1 Provision of Coordination Services. The Company shall provide all Coordination Services, as provided herein, necessary for the delivery of an EGS's energy and/or capacity to serve retail access load located within the Company's service territory.

4.2 Timeliness and Due Diligence. EGSs shall exercise due diligence in meeting their obligations and deadlines under this Tariff so as to facilitate Direct Access.

4.3 Duty of Cooperation. Duty of Cooperation. The Company and each EGS will cooperate in order to ensure delivery of Competitive Energy Supply to Customers as provided for by this Tariff, the EDC Tariff and the Competition Act.

4.4 State Licensing. An EGS must have and maintain in good standing a license from the PaPUC as an authorized EGS.

4.5 Energy Procurement. An EGS must make all necessary arrangements for obtaining Competitive Energy Supply in a quantity sufficient to serve its own Customers.

4.6 PJM Services and Obligations. An EGS is responsible for procuring those services provided by the PJM OI that are necessary for the delivery of Competitive Energy Supply to its Customers. In addition, an EGS must satisfy all obligations which are imposed on LSEs in the PJM Control Area.

4.7 Energy Scheduling. An EGS must make all necessary arrangements for scheduling the delivery of energy through the PJM OI. The Company shall assist in that process as set forth in Rule 7.

4.8 Reliability Requirements. An EGS shall satisfy those reliability requirements issued by the PaPUC, or any other governing reliability council with authority over the EGS, that apply to EGSs.

4.9 Determination of Load and Location. The Company and EGS shall coordinate with the PJM OI to determine the magnitude and location of the EGS's actual or projected load, as required by the PJM OI, for the purpose of calculating a Network Integration Transmission Service Reservation, an installed capacity obligation, or other requirements under the PJM Tariff.

4.10 Supply of Data. An EGS and the Company shall supply to each other all data, materials or other information specified in this Tariff, or otherwise reasonably required by the EGS or Company in connection with the provision of Coordination Services, in a thorough and timely manner.

4.11 Communication Requirements. An EGS must be equipped with the following communications capabilities:

- Internet electronic mail (e-mail), including the capability to receive ASCII file attachments;
- Internet browser capable of access to the PP&L web-site and file uploads and downloads;
- Internet EDI peer-to-peer communication with push and pull capability, and
- VAN and single Internet file transfer protocol, as determined by the Electronic Data Exchange Working Group (EDEWG) and Pa PUC Docket No. M-00960890.F0015.

4.12 Record Retention. Record Retention. An EGS and the Company shall comply with all applicable laws and PaPUC rules and regulations for record retention, including but not limited to those Rules of Chapter 56 of the PaPUC's regulations.

4.13 Payment Obligation. The Company's provision of Coordination Services to an EGS is contingent upon the EGS's payment of all charges provided for in this Tariff.

4.14 Data Exchange.

(a) The Company shall at a minimum, comply and adhere to the terms and requirements of the Consensus Plan submitted by the Electronic Data Exchange Working Group (Electronic Data Exchange Standards for Electric Deregulation in The Commonwealth of Pennsylvania) reviewed and approved by the Pilot Implementation Committee and the Pa. PUC. (Docket No. M-00960890F.0015). These standards, as they currently are written, shall address the necessary data transfer and exchange to accommodate registration and switching of customers, metering and billing information, and current customer information. The Company shall follow these standards as they currently exist, and as they may change from time-to-time, for all data exchange procedures and requirements.

(b) The Company shall make available to an EGS, on a daily basis, the following information regarding that EGS's Customers in electronic files available on the PP&L website:

- (i) PP&L Account Number
- (ii) Billing Route
- (iii) Customer Name
- (iv) Service Address
- (v) Service City
- (vi) Service State Zip
- (vii) Mailing Address
- (viii) Mailing City
- (ix) Mailing State Zip
- (x) Contact Name (applicable to industrial and large commercial Customers only)
- (xi) Contact Address (applicable to industrial and large commercial Customers only)
- (xii) Contact City, State, Zip (applicable to industrial and large commercial Customers only)
- (xiii) Unlisted Telephone Number Code
- (xiv) Telephone Number
- (xv) Rate Class
- (xvi) Rate Class Load Profile and Class Strata (if any)
- (xvii) Registered Peak Demand
- (xviii) Load Factor
- (xix) Annual kWh
- (xx) Meter Use Code
- (xxi) Delivery Date
- (xxii) Load Bus
- (xxiii) Whether Customer is on budget billing

(xxiv) Whether the Customer a special payment agreement.

(c) An EGS must notify its Customers that by signing up for Competitive Energy Supply with the EGS, the Customer is consenting to the disclosure by the Company to the EGS of certain basic information about the Customer, as listed in Rule 4.14(b). At minimum, the notice shall inform the Customer that the following information will be disclosed: the Customer's PP&L account number, data about meter readings, rate class and electric usage, the Customer's address(es) and telephone number, and whether or not the Customer is on a budget billing plan, or as otherwise approved by the PUC Bureau of Consumer Services.

(d) The Company will maintain on its website, copies of the standard file formats it will provide to EGSs containing the data listed in this Rule of this Tariff. The Company will not change the file formats without first providing via Internet electronic mail and posting on its website at least seven (7) days notice of any such change. The Company will make a good faith effort to provide a greater period of notice when warranted.

(e) Nothing in this Rule 4.14 shall prohibit the Company from making available to EGSs other electronic data, in formats chosen by the Company. The Company will not change the file formats of the electronic data made available under this Rule 4.14(e) without first providing via Internet electronic mail and posting on the website at least seven (7) days notice of such change. The Company will make a good faith effort to provide a greater period of notice when warranted.

4.15 Code of Conduct. Codes of Conduct. The Codes of Conduct contained in the Company's EDC Tariff are incorporated herein by reference.

4.16 Standards of Conduct and Disclosure for Licensed EGSs. Standards of Conduct and Disclosure for Licensed EGSs. The Commission's Standards of Conduct and Disclosure for Licensees are incorporated herein by reference.